

Sport Dispute Resolution Centre of Canada (SDRCC)
Centre de règlement des différends sportifs du Canada (CRDSC)



Canadian Sport Dispute Resolution Code

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Article 1 Definitions

For the purpose of this Canadian Sport Dispute Resolution Code (“this Code”), capitalized terms have the following meanings:

“**Abuse-Free Sport Program**” « Programme Sport Sans Abus » means the program created by the SDRCC in 2022 further to a mandate from the Government of Canada to administer the UCCMS through the Office of the Sport Integrity Commissioner (OSIC) and the Director of Sanctions and Outcomes, which will no longer operate as of August 1, 2025;

“**Affected Party**” « Partie affectée » means a Person who:

- (i) may be tangibly and adversely affected by an award of a Panel of the Ordinary Tribunal, such as being removed from a team or losing funding, and who is either accepted by the Parties or named by the Panel as an Affected Party;
- (ii) for the purposes of a Resolution Facilitation or Mediation only, is recognized by the Resolution Facilitator or Mediator as an Affected Party; or
- (iii) is not a Claimant or Respondent, but has a right under the SO Safe Sport Policies to make submissions or statements before a Safeguarding Panel;

“**Answer**” « Réponse » means a response to a Request;

“**Appeal Panel**” « Formation d’appel » means the Panel that hears or has heard an appeal filed pursuant to Article 9;

“**Appeal Tribunal**” « Tribunal d’appel » means the division of the SDRCC that constitutes Panels whose responsibility is to decide appeals of decisions of a Doping Panel or a Safeguarding Panel;

“**Appellant**” « Partie appelante » means a Party initiating a proceeding before the Appeal Tribunal pursuant to Article 9;

“**Arbitration**” « Arbitrage » has the meaning described in Section 5.2;

“**Arbitrator**” « Arbitre » means an individual accepted and recognized as an Arbitrator by the SDRCC, who meets the qualifications established by the SDRCC and is willing to arbitrate cases for the SDRCC pursuant to this Code;

“**Canadian Anti-Doping Program**” or “**CADP**” « Programme canadien antidopage » or « PCA » means the Canadian Anti-Doping Program administered by the Canadian Centre for Ethics in Sport (“CCES”);

“**Canadian Program to Prevent Competition Manipulation**” or “**CPPCM**” « Programme canadien pour prévenir la manipulation de compétitions » or « PCPMC » means the Canadian Program to Prevent Competition Manipulation administered by the CCES;

“**Canadian Safe Sport Program**” or “**CSSP**” « Programme canadien de sport sécuritaire » or « PCSS » means the Canadian Safe Sport Program administered by the Canadian Centre for Ethics in Sport (“CCES”);

“**CAS**” « TAS » means the Court of Arbitration for Sport;

“**Case Resolution Agreement**” « Accord de règlement de l’affaire » has the meaning defined in the CADP;

“**CCES**” « CCES » means the Canadian Centre for Ethics in Sport;

“**Claimant**” « Partie demanderesse » means the Person initiating an Arbitration or Med/Arb;

“**Code**” « Code » means this Canadian Sport Dispute Resolution Code, as amended by the SDRCC;

- “Conservatory Measure”** « Mesure conservatoire » means any preliminary measure ordered by a Panel of the Ordinary Tribunal upon an application filed by a Party to prevent irreversible consequences or to stay a decision under appeal pending the final award of an Arbitration or Med/Arb;
- “Dispute Resolution Processes”** « Processus de règlement de différends » means Resolution Facilitation, Mediation, Med/Arb and Arbitration as defined in this Code;
- “Dispute Resolution Professional”** « Spécialiste du règlement des différends » means an individual designated in accordance with Section 3.3;
- “Dispute Resolution Services”** « Services de règlement des différends » includes Dispute Resolution Processes, case management services and logistical support by the SDRCC;
- “Doping Panel”** « Formation antidopage » means the Panel that hears or has heard a Sports-Related Dispute arising out of the application of the CADP;
- “Doping Tribunal”** « Tribunal antidopage » means the division of the SDRCC that constitutes Doping Panels;
- “Fee-for-Service”** « Services payants » means the program offered by the SDRCC whereby Parties submit their Sports-Related Dispute to be resolved by the SDRCC when the resolution of such dispute cannot be funded by the Government of Canada’s financial contribution to the SDRCC;
- “International-Level Athlete”** « Athlète de niveau international » has the meaning defined in the CADP;
- “International Standard”** « Standard international » has the meaning defined in the CADP;
- “Intervenor”** « Partie intervenante » means a Person, who is not a Party to a proceeding in the Ordinary Tribunal but claims an interest in the Arbitration, and whose presence is useful for the proper adjudication of the dispute, who files an Intervention pursuant to Section 6.7 and is accepted by the Parties or by the Panel as an Intervenor;
- “Intervention”** « Intervention » means an application made by a Person in the Ordinary Tribunal in accordance with Sections 6.6 and 6.7;
- “Investigation Report”** « Rapport d’enquête » has the meaning defined in the CSSP;
- “Jurisdictional Arbitrator”** « Arbitre juridictionnel » means an Arbitrator, designated by the SDRCC to perform the functions of a Panel prior to the formal appointment of a Panel for the resolution of a Sports-Related Dispute or during the resolution of a Sports-Related Dispute as described in Section 5.4;
- “Letter of Concern”** « Avis de préoccupation » has the meaning defined in the CSSP;
- “Med/Arb”** « Méd-Arb » means a process conducted by a Med/Arb Neutral that starts as a Mediation and, if the dispute is not resolved, concludes by Arbitration;
- “Med/Arb Neutral”** « Méd-Arb neutre » means an individual accepted and recognized by the SDRCC to conduct both Mediation and Arbitration processes and who meets the qualifications determined by the SDRCC and is willing to conduct a Med/Arb for the SDRCC pursuant to this Code;
- “Mediation”** « Médiation » has the meaning described in Section 4.1;
- “Mediator”** « Médiateur » or « Médiatrice » means an individual accepted and recognized as a Mediator by the SDRCC who meets the qualifications determined by the SDRCC and is willing to mediate cases for the SDRCC pursuant to this Code;
- “Member”** « Membre » includes an athlete, coach, official, volunteer, director, employee, any other person affiliated with a Sport Organization (“SO”) and any participant in an event or activity sanctioned by an SO;

“Minor” « Personne mineure » carries the following definition:

- (i) For the purpose of Article 6, an individual under the age of 19, unless the applicable laws and regulations of their jurisdiction of residence provide otherwise;
- (ii) For the purpose of Article 7 and of Article 9 when a doping-related decision is appealed, the definition of a Minor is that found in the CADP; or
- (iii) For the purpose of Article 8 and of Article 9 when a decision of a Safeguarding Panel is appealed, the definition of a Minor is that found in the UCCMS;

“Office of the Sport Integrity Commissioner” or **“OSIC”** « Bureau du Commissaire à l’intégrité dans le sport » or « BCIS » means the functionally independent division of the SDRCC responsible for administering the UCCMS for purposes of the Abuse-Free Sport program;

“Ordinary Tribunal” « Tribunal ordinaire » means the division of the SDRCC that constitutes Panels whose responsibility is to resolve Sports-Related Disputes that do not fall under the purview of Articles 7, 8 or 9;

“Panel” « Formation » means, where the context requires:

- (i) a single Arbitrator;
- (ii) three Arbitrators, one of whom shall be designated as the Chairperson;
- (iii) a Jurisdictional Arbitrator; or
- (iv) a Med/Arb Neutral;

“Participant” « Personne participante » has the meaning defined in the CSSP;

“Party” « Partie » means:

- (i) any Person or SO participating in a Resolution Facilitation, Mediation, Arbitration or Med/Arb;
- (ii) any Affected Party;
- (iii) any Person designated as a Party in the CADP;
- (iv) any Person designated as a Party in the CSSP;
- (v) any Person designated as a Party in the SO Safe Sport Policies;
- (vi) any Person designated as a Party in the CPPCM; or
- (vii) the Government of Canada, in a dispute related to a decision of Sport Canada in the application of its Athlete Assistance Program (“AAP”);

“Person” « Personne » means a natural person or an organization or other entity;

“Provisional Hearing” « Audience préliminaire » has the meaning defined in the CADP;

“Provisional Measure” « Mesure provisoire » carries the following definition:

- (i) For the purpose of Article 6, “Provisional Measure” has the meaning defined in the CPPCM; or
- (ii) For the purpose of Article 8, “Provisional Measure” has the meaning defined in the CSSP or, as applicable, the SO Safe Sport Policies;

“Provisional Suspension” « Suspension provisoire » carries the following definition:

- (i) For the purpose of Article 6, “Provisional Suspension” has the meaning defined in the CPPCM; or
- (ii) For the purpose of Article 7, “Provisional Suspension” has the meaning defined in the CADP;

“Public Registry” « Registre public » has the meaning defined in the CSSP;

“Remedial Resolution” « Résolution corrective » has the meaning defined in the CSSP;

“Report” « Signalement » has the meaning defined in the CSSP;

“Request” « Demande » means an application to the SDRCC for the resolution of a Sports-Related Dispute pursuant to this Code;

“Resolution Facilitation” « Facilitation de règlement » means the process described in Article 4;

“Resolution Facilitator” or **“RF”** « Facilitateur de règlement » or « Facilitatrice de règlement » or « FR » means an individual accepted and recognized by the SDRCC to conduct mediations, who meets the qualifications determined by the SDRCC and who is willing to conduct a Resolution Facilitation pursuant to this Code;

“Respondent” « Partie intimée » means a Party:

- (i) whose decision is being reviewed by the Ordinary Tribunal or the Safeguarding Tribunal;
- (ii) before the Ordinary Tribunal, against whom a CPPCM rule violation is asserted;
- (iii) before the Doping Tribunal against whom an anti-doping rule violation is asserted; or
- (iv) before the Appeal Tribunal against whom a decision is being appealed;

“Rotating List” « Liste rotative » means a list of Dispute Resolution Professionals established and maintained by the SDRCC, from which the SDRCC will make an appointment when required pursuant to this Code;

“Safeguarding Panel” « Formation de protection » means the Panel that hears or has heard a Sports-Related Dispute arising out of the application of the UCCMS;

“Safeguarding Tribunal” « Tribunal de protection » means the division of the SDRCC that constitutes Safeguarding Panels pursuant to Article 8;

“Safe Sport Policies” « Politiques de sport sécuritaire » means a set of policies, other than the CSSP, that an SO has adopted to manage possible UCCMS violations;

“SDRCC” « CRDSC » means the Sport Dispute Resolution Centre of Canada;

“Sport Organization” or **“SO”** « Organisme de sport » or « OS » includes any sport organization in Canada that is:

- (i) the governing body for a specific sport or discipline at the national level or in any provincial, territorial or regional jurisdiction in Canada, as recognized from time to time by the SDRCC;
- (ii) a multisport service organization at the national level or in any provincial, territorial or regional jurisdiction in Canada, as recognized from time to time by the SDRCC; or
- (iii) a Canadian Sport Institute or Centre receiving funding from Sport Canada;

“Sports-Related Dispute” « Différend sportif » means a dispute affecting participation of a Person in a sport program or a Sport Organization arising from, but not limited to:

- (i) the selection of members to a team;
- (ii) the Athlete Assistance Program of the Government of Canada;
- (iii) a decision of an SO board of directors, a committee or an individual delegated to make a decision on behalf of an SO or its board of directors, which affects any Member of the SO;
- (iv) the application of the CADP;
- (v) the application of CSSP Rules 12.7, 13, 16 and 17;
- (vi) the application of the CPPCM; or
- (vii) the application of the UCCMS under the authority of an SO;

“Support Person” « Personne de soutien » has the meaning defined in the CSSP and may include legal counsel, parents, and personal support workers, among others, but cannot be a person who is a witness or potential witness before a Panel;

“TUE” « AUT » means Therapeutic Use Exemption as defined in the CADP;

“Universal Code of Conduct to Prevent and Address Maltreatment in Sport” or **“UCCMS”** « Code de conduite universel pour prévenir et contrer la maltraitance dans le sport » or « CCUMS » means the core document that sets harmonized rules to advance a respectful sport culture that delivers quality, inclusive, accessible, welcoming and safe sport experiences;

“Vulnerable Person” « Personne vulnérable » means an individual who is not a Minor but whose ability to present evidence before the Safeguarding Panel is materially impaired due to, but not limited to, mental or physical illness, or sexual or physical abuse. An adult witness can also be declared by a Panel to be vulnerable where a Party has authority or power over the witness;

“WADA” « AMA » means the World Anti-Doping Agency.

Article 2 General Provisions**2.1 Administration**

- (a) The SDRCC administers this Code, which may be amended from time to time by its Board of Directors, to resolve Sports-Related Disputes.
- (b) This Code applies to a Sports-Related Dispute where the SDRCC has jurisdiction to resolve the dispute. This Code will therefore only apply to a Sports-Related Dispute:
 - (i) in relation to which an agreement exists between the Parties to bring the dispute to the SDRCC, whether by virtue of a policy, contract clause or other form of agreement binding the Parties;
 - (ii) that the Parties are required to resolve through the SDRCC; or
 - (iii) that the Parties and the SDRCC expressly agree to have resolved using this Code.
- (c) This Code shall not apply to any dispute:
 - (i) where a Panel or a Jurisdictional Arbitrator has determined that the SDRCC does not have jurisdiction to deal with the dispute; or
 - (ii) arising from the application of the Abuse-Free Sport Program, where the complaint was filed with the OSIC before February 1, 2025, to which the 2023 Canadian Sport Dispute Resolution Code shall apply.

2.2 Language

The working languages of the SDRCC are French and English.

2.3 Interpretation of this Code

- (a) The French and English versions of this Code are equally authoritative and shall be interpreted as such.
- (b) Unless the context otherwise requires, the singular form shall include the plural form and vice versa, and in particular the definitions of words and expressions set forth in Article 1 shall be applied to such words and expressions when used in either the singular or the plural form.
- (c) Unless the context otherwise requires, words importing a particular gender shall include all genders.
- (d) “In writing” or “written” includes printed, typewritten or any electronic means of communication capable of being permanently reproduced in alphanumeric characters at the point of reception.

2.4 Observers

Observers will only be allowed with the consent of all Parties to the Sports-Related Dispute.

2.5 No Liability

None of the SDRCC directors, the SDRCC staff members, or the Dispute Resolution Professionals or any expert appointed to assist a Panel, shall be liable to any Party for any act or omission in connection with any proceedings conducted in accordance with this Code, except in instances of malice or bad faith.

Article 3 Resolution of Disputes**3.1 Availability of Dispute Resolution Processes**

- (a) The Dispute Resolution Processes are available to any Person for the resolution of a Sports-Related Dispute, subject to Subsection 3.1(b) and Section 3.2.
- (b) Unless otherwise agreed or set out in this Code, before a Person applies for the resolution of a Sports-Related Dispute, the Person must first have exhausted all internal dispute resolution procedures provided by the rules of the applicable SO. An SO internal dispute resolution procedure is deemed exhausted when:
 - (i) the SO or its internal appeal panel has rendered a final decision;
 - (ii) the SO has failed to apply its internal appeal policy within reasonable time limits or on reasonable grounds; or
 - (iii) the SO has waived the requirement to exhaust its internal appeal process.
- (c) Where Parties to a Sports-Related Dispute do not agree on the Dispute Resolution Process to be utilized, the Dispute Resolution Process will be Arbitration.

3.2 Costs of Dispute Resolution Services

- (a) When the SDRCC Dispute Resolution Services under Article 4 through Article 9 are not subsidized by the Government of Canada, a fee-for-service agreement shall be entered into between the paying Parties and the SDRCC, prior to a case being opened, setting out:
 - (i) the Parties' written agreement to submit their Sports-Related Dispute for resolution by the SDRCC;
 - (ii) which Parties or SO will be responsible for the costs of the SDRCC services and, if applicable, in what proportion; and
 - (iii) the amounts of any retainer payable prior to the provision of the Dispute Resolution Services, in amounts to be set by the SDRCC based on the nature of services requested, which may include the services of a Jurisdictional Arbitrator.
- (b) Notwithstanding Subsection 3.2(a), a non-reimbursable filing fee is payable by the Claimant upon filing a Request for all Med/Arb or Arbitration services under this Code, except for matters arising from the CADP. Any Claimant may apply to the SDRCC to waive the filing fee if the Claimant believes that the fee will result in significant hardship. The senior management of the SDRCC shall have full discretion to grant or deny such application on the basis of sufficient justification as provided by the Claimant.

3.3 Dispute Resolution Professionals

- (a) To assist in the resolution of Sports-Related Disputes, the SDRCC will establish and maintain rosters of Dispute Resolution Professionals qualified to conduct Mediation, Arbitration and Med/Arb processes for each of the Ordinary Tribunal, the Doping Tribunal, the Safeguarding Tribunal and the Appeal Tribunal. The rosters and all modifications shall be published by the SDRCC. The name of an individual may appear on more than one roster.
- (b) In establishing the rosters of Dispute Resolution Professionals, the SDRCC shall:
 - (i) designate individuals who, as a group, possess training and competence in alternative dispute resolution procedures and their conduct, knowledge of sport issues, and expertise in the conduct of trauma-informed procedures, as determined by the SDRCC Board of Directors from time to time;

- (ii) strive for fair representation to reflect the diversity of Canadian society; and
 - (iii) ensure that SDRCC processes and proceedings are available in French and English.
- (c) Upon selection to a relevant roster, each Dispute Resolution Professional shall sign a declaration undertaking to exercise their functions personally with impartiality and in conformity with the provisions of this Code and disclose any reasons that could affect their ability to appear on the Rotating List of the SDRCC.
- (d) When a Dispute Resolution Professional is appointed, the SDRCC will ensure that the Dispute Resolution Professional is qualified, available, able to work in the language requested by the Parties, has no conflict of interest or potential or perceived bias, and is geographically located conveniently if an in-person proceeding has been requested. Subject only to Subsections 5.5(a) and (b), Parties may not impose additional restrictions or limitations on the designation of the Dispute Resolution Professional.
- (e) Upon appointment in a Sports-Related Dispute and at any later material time, the Dispute Resolution Professional shall immediately disclose to the Parties and to the SDRCC any conflict or potential conflict of interest and any circumstances that could create a reasonable apprehension of bias.

3.4 Other Proceedings

No SDRCC director, staff member, or Dispute Resolution Professional is a compellable witness in any court or administrative proceeding, including other SDRCC proceedings, and none of the Parties shall subpoena or demand the production of any notes, records or documents prepared by an SDRCC staff member or Dispute Resolution Professional in the course of SDRCC proceedings.

3.5 Time Limits

- (a) All days are included in the calculation of time limits, including weekends and holidays.
- (b) Unless otherwise specified by agreement of the Parties or by order of the Panel, a time limit will have expired if information required from a Party is not received by four (4) p.m. Eastern Time on the date of a deadline.
- (c) Subject to the statutes, regulations, CADP, CSSP, CPPCM or other applicable rules relevant to the Sports-Related Dispute, if all Parties agree or upon application on justified grounds, the SDRCC may extend or reduce the time limits. The SDRCC may, in its discretion, refer this issue to be decided by a Panel.

3.6 Administrative Meeting

As soon as a proceeding is accepted by the SDRCC, the SDRCC shall convene an administrative meeting with the Parties to discuss administrative matters, including but not limited to the communication protocol for the case, the language of the proceedings, the dispute resolution process to be used, the appointment of the Dispute Resolution Professional, the participation of other Parties and the scheduling of next steps.

3.7 Language of the Proceedings

- (a) Parties are free to agree on the language of the proceedings to be either English or French, or both. Failing such agreement, the Panel shall determine the language of the proceedings, taking into consideration all relevant circumstances of the case. Prior to the appointment of the Panel, if Parties cannot agree, the language of the proceedings shall be deemed to be the official language in which the Request was filed.

- (b) Unless otherwise agreed by the Parties or ordered by the Panel, the language specified in accordance with Subsection 3.7(a) shall apply to all administrative forms submitted by the Parties, notifications and communications, written statements and briefs, affidavits, administrative meetings, minutes, hearings, orders and awards, and other arbitral proceedings. Subject to Subsection 3.7(e), a Party may file a document in a language other than French or English if accompanied by a certified translation into one or the other of French and English.
- (c) On its own initiative or at the request of a Party, the Panel may order that all or part of the documentary evidence or exhibits shall be accompanied by a certified translation into the language of the proceedings. The Panel shall have the authority to rule on any issues regarding the language of the proceedings and translation.
- (d) When a Party is required by these rules or ordered by the Panel to supply a translation of a document, failure to submit the translation by the time limit prescribed by the Panel may result in the submissions in their original language to be disregarded by the Panel.
- (e) The costs of translation into the language of the proceedings of any document to be submitted by a Party shall be borne by that Party. Any SO required to comply with the Official Languages Act is responsible for any translation and associated costs outlined in Subsection 3.7(b). The SDRCC may, at its own discretion, cover all or a portion of translation costs between French and English.
- (f) Notwithstanding Subsection 3.7(e), a Party shall be responsible, at all times, for the cost of any translation which may be required for the benefit of its legal representative.

3.8 Interpretation Services

- (a) Regardless of the language of the proceedings specified pursuant to Subsection 3.7(a), if requested by a Party at least fourteen (14) days prior to an oral proceeding or if otherwise agreed to by the SDRCC, the SDRCC shall provide the services of a French/English and/or English/French interpreter during the session or hearing.
- (b) In cases where Subsection 3.2(a) applies, the costs of interpretation shall be borne by the requesting Party. In all other cases, the interpreter shall be selected and paid by the SDRCC.

3.9 Representation and Assistance

- (a) The Parties have a right to counsel in all SDRCC proceedings and may be represented or assisted by Persons of their choice at their own expense. The names, telephone numbers, and email addresses of the representatives of the Parties shall be communicated to the SDRCC.
- (b) Minors in an SDRCC proceeding shall be represented by one of their parents or by their legal guardian who, subject to Subsection 3.9(a), may authorize another adult to represent or speak on behalf of the Minor.
- (c) Where the SDRCC must notify a Minor of the existence of a proceeding, the SO shall provide current contact information for the person authorized to represent the Minor pursuant to Subsection 3.9(b).

3.10 Format of Proceedings

SDRCC proceedings may be conducted by documentary review, by conference call, by videoconference, in person, or through any combination of those formats, with input from the Parties. In case of disagreement by the Parties on the format of the proceedings, the Panel shall make a final determination at its own discretion, taking into account the urgency, the potential costs to the Parties, and the particulars of the dispute with regard to the production of evidence.

Article 4 Resolution Facilitation and Mediation**4.1 Resolution Facilitation and Mediation**

Resolution Facilitation and Mediation are non-binding and informal processes, in which each Party undertakes in good faith to negotiate with all other Parties, with the assistance of a Resolution Facilitator (RF) or a Mediator, with a view to settling a Sports-Related Dispute.

4.2 Availability of Resolution Facilitation

Resolution Facilitation is available to Parties to a Sports-Related Dispute in the following situations:

- (a) prior to an internal disciplinary or appeal process of an SO, or prior to submitting an Arbitration request to the SDRCC, through a Request for Resolution Facilitation signed by all Parties;
- (b) at any time, through a Request for Resolution Facilitation signed by all Parties;
- (c) upon submitting a Request for Arbitration to the SDRCC under the Ordinary Tribunal;
- (d) in doping-related matters, whether under the Doping Tribunal or the Appeal Tribunal, subject to parameters modified from time to time by the CCES and the SDRCC;
- (e) in doping-related matters, for Parties to engage in discussions of a possible Case Resolution Agreement pursuant to CADP Rule 10.8.2;
- (f) in reviews under Subsection 8.2(b), except as limited by the applicable SO Safe Sport Policies;
- (g) at any time Parties agree to do so prior to a decision or an award being rendered by a Panel; and
- (h) following the issuance of an award or decision, to assist Parties in restoring positive relationships.

4.3 Availability of Mediation

Mediation is available to Parties to a Sports-Related Dispute in the following situations:

- (a) by submission of a Request for Mediation signed by all Parties or a document evidencing that Parties have all agreed to Mediation before the SDRCC and to the Mediation rules contained in this Code.
- (b) under the CSSP, at the request of or with the approval of the CCES; or
- (c) for matters not under the CSSP, as otherwise allowable under the applicable SO Safe Sport Policies.

4.4 Appointment of the Resolution Facilitator (RF) or Mediator

- (a) The SDRCC shall appoint a RF from its Rotating List, unless the Parties have agreed to a RF prior to filing their joint Request for Resolution Facilitation.
- (b) Upon receipt of a Request for Mediation, the Parties will be provided with a time limit, set by the SDRCC, to agree on a Mediator. If the Parties do not agree when the time limit expires, the SDRCC shall appoint a Mediator from its Rotating List.
- (c) Where the SDRCC appoints a Mediator from its Rotating List for a matter arising out of the application of the UCCMS, it shall use its specialized list of safe sport Mediators.

4.5 Conduct of Resolution Facilitation and Mediation

- (a) Except in doping-related matters, the Persons present at a Resolution Facilitation or Mediation session must have authority to settle the Sports-Related Dispute without consulting anyone who is not present. Limited authority to settle shall be disclosed at the beginning of the session.
- (b) The Resolution Facilitation or Mediation shall be conducted in the manner agreed to by the Parties. Failing such agreement between the Parties, the RF/Mediator shall determine the manner in which the process will be conducted.
- (c) Each Party shall cooperate in good faith with the RF/Mediator.
- (d) For matters under the CSSP, the CCES may be consulted by the RF/Mediator during the process, on whether proposed resolutions are likely to be approved by the CCES.

4.6 Confidentiality of Resolution Facilitation and Mediation

- (a) The meetings between the Parties and the RF/Mediator shall be confidential and without prejudice.
- (b) Audio or video recording or machine transcription by a Party, representative, Support Person, and any other Person present during the Resolution Facilitation or Mediation session is prohibited.
- (c) The RF/Mediator, the Parties, their representatives and Support Persons, the experts, and any other Person present during the Resolution Facilitation or Mediation session shall not disclose to any third party any information or document given to them during the Resolution Facilitation or Mediation, unless required by law to do so or with the consent of all Parties.
- (d) The RF/Mediator shall not be called as a witness, and the Parties undertake to not compel the RF/Mediator to divulge records, reports or other documents, or to testify in regard to the Resolution Facilitation, in any arbitral or judicial proceedings, including proceedings before the SDRCC, unless required to do so by law.
- (e) The RF/Mediator shall not produce a report of the discussions between the Parties. All written and oral statements and settlement discussions made in the course of Resolution Facilitation or Mediation shall be confidential and will be treated as having been made without prejudice. Such statements can only be disclosed with the consent of all Parties.
- (f) When a Mediation process concerning an alleged violation of the UCCMS leads to a settlement reached by all Parties and approved by the CCES, any agreed upon sanction that in some way restricts a Party's eligibility to participate in sport will be recorded in the Public Registry under the CSSP, unless determined otherwise by the CCES.

4.7 Time Limit of Resolution Facilitation and Mediation

- (a) The Parties and the RF/Mediator will agree upon a time when the process will terminate. In the event that the Parties cannot agree on a time limit, the RF/Mediator will set a time limit, considering the date by which the Sports-Related Dispute must be resolved and the possibility that Arbitration may be required.
- (b) For matters under the CSSP or SO Safe Sport Policies, the Parties shall participate in good faith efforts at resolution for a minimum of four (4) hours, unless otherwise determined by the RF/Mediator.

4.8 Termination of Resolution Facilitation or Mediation

The Resolution Facilitation or Mediation process shall be terminated by the first of the following events to occur:

- (a) the SDRCC receiving a settlement agreement signed by all Parties;
- (b) a written declaration by the RF/Mediator to the effect that further efforts at reaching a settlement are no longer worthwhile;
- (c) a written notice by any of the Parties terminating the Resolution Facilitation or Mediation, except in mediations under CSSP Rule 13.4;
- (d) the expiry of the time limit established pursuant to Subsection 4.7(a), unless all Parties agree to pursue settlement discussions; or
- (e) a written notice of termination from the SDRCC where a Party is unresponsive, after reasonable notice to the Parties.

4.9 Settlement

- (a) If the Parties reach a settlement or agreement during the Resolution Facilitation process or Mediation, a document evidencing the terms of the settlement shall be prepared and signed by the Parties. A copy of the settlement agreement shall be submitted to the SDRCC.
- (b) For Mediation processes arising out of an alleged violation of the UCCMS or the CSSP referred by the CCES, any settlement contemplated by the Parties is subject to approval by the CCES pursuant to the CSSP and other applicable policies and procedures. Minutes of resolution may be amended on consent of the parties and approval of the CCES.

4.10 No Settlement

- (a) In the event of a failure to resolve a Sports-Related Dispute by Resolution Facilitation or Mediation, the RF/Mediator shall not accept an appointment as an Arbitrator in any arbitral proceeding concerning the Parties involved in the same dispute unless a Med/Arb agreement has been signed by the Parties, or unless all Parties (including any Affected Parties) otherwise consent in writing.
- (b) When Resolution Facilitation does not resolve the Sports-Related Dispute, Parties may continue to work with an RF in preparation for the Arbitration, such as developing an agreed statement of facts or narrowing the questions upon which the Panel will decide.

4.11 Application of Mediation Rules

- (a) Where an agreement provides for Mediation under this Code, the Mediation rules set forth in this Article shall be deemed to form an integral part of such Mediation agreement. The Parties may, however, agree in writing to apply other rules of procedure.
- (b) Where Parties agree to attempt to resolve their Sports-Related Dispute by way of Med/Arb, the applicable Mediation rules set forth in this Article shall apply to the Mediation portion of the Med/Arb process.

Article 5 Arbitration General Rules**5.1 Applicable Law for Arbitrations**

The applicable law for Arbitrations shall be the law of the province of Ontario.

5.2 Application of Arbitration General Rules

- (a) The rules set out in this Article shall apply to all Arbitrations and to all Med/Arb proceedings that do not settle at Mediation. Article 5 may apply to hearings of the Doping Tribunal, the Safeguarding Tribunal and the Appeal Tribunal, unless otherwise specified in Articles 7 to 9 respectively.
- (b) The term “Arbitration” includes the Arbitration portion of a Med/Arb, and the term “Arbitrator” includes a Med/Arb Neutral acting as an Arbitrator.

5.3 Composition and Appointment of Panel

- (a) Subject to specific rules applicable pursuant to Articles 6 to 9, the Panel shall be composed of one (1) Arbitrator unless:
 - (i) a fee-for-service Arbitration agreement specifically calls for three (3) Arbitrators; or
 - (ii) the matter otherwise requires three (3) Arbitrators as specified in this Code.
- (b) Where a sole Arbitrator is to be appointed, the Parties may agree on the Arbitrator. If the Parties do not agree on the Arbitrator within the time limit set by the SDRCC, if the Parties waive the option to choose an Arbitrator, or in the event of severe time constraints, the SDRCC will appoint the Arbitrator through its Rotating List.
- (c) Where three (3) Arbitrators are to be appointed:
 - (i) the Claimant and the Respondent shall each appoint one (1) Arbitrator within the time limit set by the SDRCC;
 - (ii) if either Party fails to appoint an Arbitrator as required, the SDRCC shall appoint such Arbitrator from its Rotating List; and
 - (iii) the two (2) Arbitrators appointed shall select the third Arbitrator, who shall chair the Panel.

5.4 Jurisdictional Arbitrator

- (a) The SDRCC will appoint a Jurisdictional Arbitrator from its Rotating List when:
 - (i) a Panel has not yet been appointed and where a jurisdictional or procedural issue arises between the Parties which they cannot resolve; or
 - (ii) Subsection 5.5(c) applies.
- (b) The Jurisdictional Arbitrator shall conduct the Arbitration by documentary review only, unless the Jurisdictional Arbitrator determines that a different format is justified such as, but not limited to, time-sensitive disputes.
- (c) The Jurisdictional Arbitrator shall have all the necessary powers to decide:
 - (i) any challenge raised to the jurisdiction of the SDRCC;
 - (ii) whether to merge two or more cases filed before the SDRCC that involve most of the same Parties and share similar facts and issues, where Parties do not agree to merge the disputes;

- (iii) a time-sensitive request to apply a Conservatory Measure pursuant to Section 6.8, where a Panel has not yet been appointed;
 - (iv) other issues that prevent the constitution of a Panel;
 - (v) whether an Arbitrator shall be removed pursuant to Section 5.5; and
 - (vi) any other matter allowed in this Code to be decided by a Jurisdictional Arbitrator.
- (d) The Jurisdictional Arbitrator's written decision with reasons shall be communicated to the Parties within ten (10) days of the last submissions made before the Jurisdictional Arbitrator.
- (e) A Jurisdictional Arbitrator shall not render a decision on the main substantive issue or be appointed to a Panel to hear the main substantive issue in dispute between the Parties, unless expressly agreed in writing by all Parties.

5.5 Challenge, Removal and Replacement of an Arbitrator

- (a) An Arbitrator may be challenged solely on the grounds of conflict of interest or a reasonable apprehension of bias. The challenge shall be brought without undue delay after the grounds for the challenge become known.
- (b) A challenge shall be made by a Party by written petition to the SDRCC, which states the facts giving rise to the challenge. The Arbitrator shall be informed of the challenge and given the opportunity to recuse themselves or provide a written response to the challenge.
- (c) If the Arbitrator does not recuse themselves, the other Parties shall be given an opportunity to respond in writing to the challenge and a Jurisdictional Arbitrator shall be appointed through the Rotating List to make a ruling on the basis of the written challenge and responses. The decision of the Jurisdictional Arbitrator is final and binding.
- (d) The SDRCC shall remove an Arbitrator:
 - (i) who refuses to or is prevented from carrying out their duties;
 - (ii) who prevents a Panel from carrying out its duties; or
 - (iii) if a challenge made pursuant to Subsection 5.5(c) is upheld.
- (e) Decisions on challenges are in the exclusive domain of the SDRCC and shall be determined in accordance with this Code and applicable laws.
- (f) Upon the resignation, death, or removal of an Arbitrator, such Arbitrator shall be replaced in accordance with Section 5.3. Unless otherwise agreed by the Parties, the substitute Arbitrator may give direction about the future conduct of the Arbitration.

5.6 Communications Between the Panel and the Parties

- (a) Communications between the Panel and the Parties shall only take place through the SDRCC. Any communication shall be in writing and sent to the SDRCC at its specified email address, unless otherwise directed. Any communication will only be effective upon receipt.
- (b) If an expedited proceeding is directed by the SDRCC, only the Panel may waive the requirement in Subsection 5.6(a).
- (c) All notices shall be delivered to the Parties at the email addresses given to the SDRCC at the commencement of the process or such other email address as later provided in writing to the SDRCC by a Party.

5.7 Procedures of the Panel

- (a) As soon as possible after appointment, the Panel may convene a preliminary meeting of all Parties to discuss and decide procedural and other preliminary matters, including any challenge of its jurisdiction.
- (b) The Panel shall provide a reasonable opportunity to each Party to present its case and respond to the case of opposing Parties.
- (c) The Panel shall have the power to require witnesses to testify under oath or affirmation.
- (d) The Panel shall have the power to expedite or adjourn, postpone or suspend its proceedings, or to extend or reduce any time limit provided by this Code, upon such terms as it shall determine, where fairness so requires.
- (e) On motion by any Party, the Panel may prohibit a Party from making further motions or challenges in the proceeding without leave, where, upon hearing the motion, the Panel is satisfied that a Party is attempting to delay or add to the costs of the proceeding or otherwise abuse the Arbitration process by a multiplicity of frivolous or vexatious motions.
- (f) Where a matter arises that is not otherwise set out in this Code, the Panel shall have the power to establish its own procedures provided each Party is treated equally and fairly.
- (g) The Panel shall conduct the proceedings to avoid delay and to achieve a just, speedy and cost-effective resolution of the dispute, and may impose limitations on the duration of the hearing or the length of submissions.
- (h) Any irregularity resulting from failure to comply with any provision of this Code or any direction given in accordance with it before the Panel has reached its decision shall not of itself render the proceedings void.
- (i) Where any irregularity comes to the Panel's attention, the Panel shall, before reaching a decision, give such directions as it thinks just to cure or waive the irregularity.
- (j) Clerical or typographical mistakes in any procedural order, award or decision of the Panel, or errors, slips, omissions, or other similar mistakes, arising in such documents, may be corrected by the Panel if brought to its attention within seven (7) days of the communication of such procedural order, award or decision. Clerical mistakes or errors may also be corrected by the Panel on its own initiative at any time prior to the publication of the procedural order, award or decision, in which case the SDRCC will notify the parties accordingly.

5.8 Arbitration in the Absence of a Party or Representative

An Arbitration may proceed in the absence of any Party or representative who, after due notice, fails to be present or fails to obtain a postponement. An award shall not be made solely on the basis of the absence of a Party. The Panel shall require the Party who is present to submit such evidence as may be required for the making of an award.

5.9 Confidentiality of Arbitration

- (a) Arbitration proceedings under this Code are confidential and the hearings are not open to the public, except as provided in this Code.
- (b) The Panel, the Parties, their representatives and Support Persons, the SDRCC and any other Person present during the Arbitration shall not disclose to any third party any confidential information or confidential document related to the proceedings or any information or document acquired during the Arbitration, except as permitted under this Code, under the applicable rules

of the Arbitration, under the rules and the by-laws of the SDRCC, under the policies and procedures of the CSSP, as applicable, or unless required by law to do so.

5.10 Record of Hearing

- (a) Any Party requiring a transcript of the hearing, in full or in part, shall make arrangements directly with the service provider and shall notify the other Parties of such arrangements at least three (3) days before the start of the hearing or as required by the Panel.
- (b) Audio or video recording during conference call or videoconference hearings may be arranged by the SDRCC upon request made by a Panel or a Party at least three (3) days before the start of the hearing or as required by the Panel.
- (c) Parties, representatives and Support Persons are permitted to make a recording of proceedings for note-taking purposes only. The Panel must be advised before the recording is commenced.
- (d) The requesting Party shall pay the cost of the services requested. If more than one Party wants a copy of a transcript or recording, the costs shall be shared equally.

5.11 Settlement Discussions during Arbitration

- (a) At any time during the Arbitration process and prior to the Panel's award, the Parties may jointly make a written request to the Panel to adjourn to allow the Parties to conduct settlement discussions.
- (b) If the Mediation, the Resolution Facilitation or settlement discussions fail to resolve the dispute, the Arbitration process shall resume.
- (c) The Panel may not order the Parties to mediate their dispute without the consent of all Parties.

5.12 Effect of Failure to Comply with this Code

If a Party, upon discovery of another Party's failure to comply with any provision of this Code or any requirement under an Arbitration clause or agreement, does not object within seven (7) days of this discovery, that Party shall be deemed to have waived any right to object to such failure.

5.13 Awards and Decisions

- (a) All awards, orders and decisions shall be in writing, dated and signed by the Panel or, in case of a three-person Panel, at least by the Panel Chairperson.
- (b) For a Panel of three (3) Arbitrators, the decision shall be made by majority.
- (c) Unless otherwise agreed by the Parties, the Panel shall also provide written reasons for the award or decision.
- (d) When an award or a decision is due on a Saturday, Sunday or statutory holiday in the Panel's place of residence, it shall be communicated on the next business day, unless agreed otherwise by the Panel and the Parties.
- (e) Each case must be determined on its facts and the Panel shall not be bound by previous awards or decisions, including those of the SDRCC.

5.14 Costs

- (a) Except for the costs outlined in Section 3.8 and Subsection 3.7(e), and unless expressly stated otherwise in this Code, each Party shall be responsible for its own expenses and those of its witnesses.

- (b) Where applicable, Parties seeking costs in an Arbitration shall inform the Panel and the other Parties no more than seven (7) days after a decision or the final award being rendered.
- (c) The Panel shall determine whether there is to be any award of costs, payable to a Party, or to the SDRCC, including but not limited to legal fees, expert fees, Arbitrator fees and disbursements, and reasonable disbursements, and the amount of any such award. In making its determination, the Panel shall consider the outcome of the proceeding, the conduct of the Parties and abuse of process, their respective financial resources, settlement offers and each Party's good faith efforts in attempting to resolve the dispute prior to or during Arbitration. Success in an Arbitration does not mean that the Party is entitled to costs.
- (d) For matters under the CSSP, costs described in Subsection 5.14(a) may only be awarded against a Party where that Party's conduct has been abusive, vexatious, obstructive or has caused deliberate delay or unnecessary complication of the proceedings.
- (e) A Party may raise with the Panel any alleged breach of this Code by any other Party. The Panel may take such allegation into account in respect of any cost award.
- (f) Any filing fee charged by the SDRCC can be taken into account by a Panel if any costs are awarded.
- (g) A reasoned decision on costs shall be communicated to the Parties within ten (10) days of the closing of cost submissions.
- (h) Failure to comply with an award of costs within thirty (30) days may result in a suspension of that Party's right to participate in the proceedings.
- (i) The Panel does not have jurisdiction to award damages, compensatory, punitive or otherwise, to any Party.

5.15 Clarification of an Award or Decision

- (a) If a Party believes the award or the decision is unclear, incomplete or ambiguous, or contradictory or contrary to the reasons, a Party may apply to the Panel for clarification within seven (7) days following the communication of the award or decision to the Parties.
- (b) If the Panel determines that clarification is warranted, it shall issue such clarification within seven (7) days following the filing of the application.

5.16 Waiver of Alternative Relief

- (a) Subject to the relevant provisions of the Arbitration Act, 1991, S.O. 1991, c. 17 (as amended), the Parties to Arbitration under this Code expressly and irrevocably waive their rights to request further or alternative relief, including the restraint of proceedings before a Panel by injunction or other interim or permanent relief, or to seek other remedies from:
 - (i) any provincial, territorial or federal court in Canada;
 - (ii) the domestic courts of any other country; and
 - (iii) any international court or any other judicial body to which an appeal may be otherwise made, except as provided for in the CADP.
- (b) Subsection 5.16(a) does not apply to matters arising from the application of the UCCMS or the CSSP where other judicial or regulatory bodies have jurisdiction.

Article 6 Specific Arbitration Rules for the Ordinary Tribunal**6.1 Initiation of a Proceeding of the Ordinary Tribunal**

- (a) A Claimant shall complete all mandatory fields of the Request form, as amended from time to time by the SDRCC, and file such Request with the SDRCC.
- (b) The Request form shall be accompanied by:
 - (i) a copy of the policies from which the Sports-Related Dispute arises;
 - (ii) if available, a document evidencing that the SDRCC has authority to hear the matter; and
 - (iii) a copy of the decision that is being appealed.
- (c) The SDRCC has the discretion to accept an incomplete Request if satisfied with the Claimant's reasons for the absence of the information.

6.2 Competition Manipulation Disputes

The Ordinary Tribunal shall be seized of any matter where it has jurisdiction as specified in the CPPCM, including, without limitation, whereby a Person faces an assertion by the CCES of a CPPCM violation, when a Person challenges the imposition of a Provisional Measure imposed by the CCES, or if they request a hearing following the assertion by the CCES of a breach of the CPPCM. Where the Government of Canada does not fund the hearing process under the CPPCM, relevant parties shall enter into a fee-for-service agreement pursuant to Subsection 3.2(a) prior to the SDRCC accepting the Request.

6.3 Time Limits to File a Request

- (a) Unless set by agreement, statute, regulations or other applicable rules of the relevant SO, the time limit to file a Request shall be thirty-one (31) days following the later of the date on which:
 - (i) the Claimant becomes aware of the existence of the dispute;
 - (ii) the Claimant becomes aware of the contested decision; and
 - (iii) the last step in attempting to resolve the dispute occurred, as determined by the SDRCC.The SDRCC may, in its discretion, refer this issue to a Panel.
- (b) Notwithstanding Subsection 3.5(c), the time limit may be waived with respect to a Request upon agreement of the Parties or under exceptional circumstances. Any issue pertaining to the waiver of the time limit will be referred to a Panel.

6.4 Communication of the Request

Upon receiving the Request, the SDRCC shall communicate the Request to the Respondent and set a time limit for the Respondent to file its Answer.

6.5 Answer

- (a) The Respondent shall complete all mandatory fields of the Answer form, as amended from time to time by the SDRCC, and file such Answer with the SDRCC within the time limit specified by the SDRCC.
- (b) If the Respondent fails to submit its Answer within the time limits set pursuant to Section 6.4 or if mandatory fields of the Answer are not all completed, the SDRCC shall proceed directly with the requested process (Arbitration or Med/Arb).

6.6 Participation of an Affected Party

- (a) If a Claimant and a Respondent identify an Affected Party in the Request and Answer, as applicable, the SDRCC shall serve notice on the Affected Party at that Person's last known electronic contact information per the relevant SO records.
- (b) Upon receipt of a confidentiality agreement signed by an Affected Party, the SDRCC will communicate to that Affected Party:
 - (i) the relevant case information as is available to other Parties involved in the case; and
 - (ii) a time limit for the Affected Party to submit an Intervention. The SDRCC shall make a copy of the Intervention available to the Parties.
- (c) The SDRCC may, on order of a Panel, serve notice on any Person the Panel has determined to be an Affected Party. A Person who has not asserted the same claim as that of the Claimant is not *de facto* an Affected Party.
- (d) Failure of an Affected Party to participate in the Arbitration will be a factor considered and may be given significant weight by any future Panel should that Affected Party file a subsequent Request relating to that matter.

6.7 Participation of an Intervenor

- (a) If a Person not already designated by the Parties pursuant to Section 6.6 wishes to participate in the Arbitration as an Intervenor, such Person shall complete and file an Intervention with the SDRCC. The SDRCC shall provide a copy of the Intervention to the Parties and set a time limit for each to express their respective position on the participation of the proposed Intervenor.
- (b) An Intervenor may only participate in an Arbitration if Parties agree in writing or if the Panel determines that the Person should participate.
- (c) In deciding on the participation of an Intervenor, the Panel shall consider whether the Intervention will unduly delay or prejudice the determination of the rights of the Parties.

6.8 Conservatory Measures

- (a) If an application for Conservatory Measure is filed, the Panel will invite all Parties to make submissions within the time limit established by the Panel. After considering all submissions, the Panel shall issue an order. In cases of urgency, the Panel may order Conservatory Measures upon mere presentation of the application, provided that any Parties so wishing shall be heard subsequently.
- (b) Conservatory Measures may be made conditional upon the provision of security.

6.9 Mandatory Resolution Facilitation in Arbitration

- (a) Resolution Facilitation, as described in Article 4, is mandatory where Parties to a Sports-Related Dispute request an Arbitration under the Ordinary Tribunal.
- (b) The Parties must be prepared to spend at least three (3) hours with the Resolution Facilitator (RF). The Parties must, in an attempt to resolve the Sports-Related Dispute, spend the aforementioned time with the RF prior to the date scheduled for an Arbitration hearing. The Parties will continue to work with the RF to attempt to resolve the dispute until one of the Parties terminates the process (if that Party has spent no less than three (3) hours with the RF) or if the RF determines that further discussions are unlikely to lead to a resolution.

- (c) If a Party refuses to spend the aforementioned time with the RF or is so inadequately prepared as to frustrate the purpose of the Resolution Facilitation, the Panel may allow the Parties to make submissions to that effect in seeking costs against such Party pursuant to Section 5.14.
- (d) The Resolution Facilitation should not delay the Arbitration. The Parties may continue with the process of appointing a Panel while the RF is assisting them to resolve the Sports-Related Dispute.

6.10 Waiver of Mandatory Resolution Facilitation in Arbitration

- (a) Parties may jointly apply to the SDRCC to waive the requirement to participate with the RF in settlement discussions where:
 - (i) the Parties do not have adequate time to schedule meetings with the RF prior to an Arbitration (due to severe time constraints); or
 - (ii) the Parties have already engaged in Resolution Facilitation or other settlement discussions with a qualified neutral third party prior to submitting a Request for Arbitration on the same matter.
- (b) Upon receipt of such an application, the SDRCC may waive the requirement to participate in Resolution Facilitation.

6.11 Onus of Proof in Team Selection and Carding Disputes

If an athlete is a Claimant in a team selection or carding dispute, the onus will be on the Respondent to demonstrate that the criteria were appropriately established and that the disputed decision was made in accordance with such criteria. Once that has been established, the onus shall be on the Claimant to demonstrate that the Claimant should have been selected or nominated to carding in accordance with the approved criteria. Each onus shall be determined on a balance of probabilities.

6.12 Scope of Panel's Review

- (a) The Panel, once appointed, shall have full power to review the facts and apply the law. In particular, the Panel may substitute its decision for the decision that gave rise to the dispute or may substitute such measures and grant such remedies or relief that the Panel deems just and equitable in the circumstances.
- (b) The Panel shall have the full power to conduct a hearing *de novo*. The hearing must be *de novo* where:
 - (i) the SO did not conduct its internal appeal process or denied the Claimant a right of appeal without having heard the case on its merits; or
 - (ii) the Panel determines that errors occurred such that the internal appeal policy was not followed or there was a breach of natural justice.
- (c) In a team selection or carding dispute, no deference need be given by the Panel to any discretion exercised by the Person whose decision is being appealed, unless the Party seeking such deference can demonstrate that Person's relevant expertise.

6.13 Awards

- (a) Awards with reasons shall be communicated to the Parties within fifteen (15) days of the completion of the hearing process. Upon request by the Parties, a short decision may be issued earlier at the discretion of the Panel, with reasons to follow.

- (b) Notwithstanding Subsection 6.13(a), when the award must be communicated to the Parties simultaneously in both official languages, the written reasons shall be provided to the Parties within twenty-one (21) days of the completion of the hearing process.
- (c) The award shall be final and binding upon the Parties. There is no right of appeal on questions of law, fact or mixed questions of fact and law.
- (d) All awards of the Ordinary Tribunal shall be made public unless otherwise determined by the Panel.

Article 7 Specific Arbitration Rules for the Doping Tribunal

7.1 Application of Article 7

The specific procedures and rules set forth in this Article shall apply in addition to the rules specified in the CADP. To the extent that a procedure or rule is not specifically addressed in this Article or in the CADP, the other provisions of this Code shall apply, as applicable, except for Articles 8 and 9.

7.2 Time Limits

- (a) The time limits fixed under this Article shall begin from the day after the day on which the notification of an anti-doping rule violation was issued by the CCES pursuant to Rule 7.2 of the CADP.
- (b) When a deadline falls on a weekend or statutory holiday, the next business day shall be the deadline for the purpose of the CADP [CADP Rule 18.10].

7.3 Initiation of a Doping Hearing

- (a) The Person whom the CCES asserts to have committed an anti-doping rule violation may request a hearing before a Doping Panel by filing a completed Request form to the Doping Tribunal before the deadline specified in the CCES notification letter [CADP Rule 8.4.2].
- (b) Unless there is an agreement on a revised schedule between the CCES and the Person whom the CCES asserts to have committed an anti-doping violation, the SDRCC shall take all appropriate action to ensure that the hearing process commences no later than forty-five (45) days from the notification [CADP Rule 8.2.1].
- (c) With respect to a hearing involving a Person subject to a Provisional Suspension imposed under Rules 7.4.1 or 7.4.2 of the CADP, unless there is an agreement between such Person and the CCES, the SDRCC shall take all appropriate action to ensure that the Person is given either:
 - (i) an opportunity for a Provisional Hearing either before or on a timely basis after the imposition of the Provisional Suspension [CADP Rule 7.4.3(a)]; or
 - (ii) an opportunity for an expedited hearing on the merits after the imposition of the Provisional Suspension [CADP Rule 7.4.3(b)].

7.4 Resolution Without a Hearing

A hearing shall not be required pursuant to Rule 8.4.3 of the CADP when the Person against whom an anti-doping violation is asserted:

- (a) admits that violation, waives a right to hearing and accepts the consequences proposed by the CCES [CADP Rule 8.4.1]; or
- (b) fails to dispute that assertion within the time period specified in the notification sent by the CCES asserting the violation, in which case the Person shall be deemed to have admitted the violation, waived a hearing, and accepted the proposed consequences [CADP Rule 8.4.2].

7.5 Parties and Observers

The Parties are the Person whom the CCES asserts to have committed an anti-doping rule violation; the CCES; and the relevant SO. The Person's international federation, WADA and the Government of Canada may attend the hearing as observers if they elect to do so [CADP Rule 8.2.3].

7.6 Format of Doping Hearings

- (a) The Doping Panel may conduct an oral hearing in person or by video or teleconference or a combination of these means [CADP Rule 8.2.4.5].
- (b) When the Person whom the CCES asserts to have committed an anti-doping rule violation requests an in-person hearing, it shall be held in Canada in the municipality most convenient to that Person, unless impractical in the circumstances [CADP Rule 8.2.4.6].
- (c) A Party may request a public hearing. A public hearing consists of a publicly accessible audio link to the Doping Panel's proceeding. If requested, a public hearing shall be provided at the expense of the Doping Tribunal. However, on the objection of a Party, the Doping Panel may in its discretion deny a request for a public hearing:
 - (i) in the interests of public order and morality or national security;
 - (ii) to protect the interests of Minors or the privacy rights of the participants;
 - (iii) where publicity would prejudice the interests of justice; or
 - (iv) where the hearing is exclusively related to a question of law [CADP Rule 8.2.2.3].

7.7 Burdens and Standards of Proof

The CCES shall have the burden of establishing that an anti-doping rule violation has occurred. The standard of proof shall be whether the CCES has established an anti-doping rule violation to the comfortable satisfaction of the Doping Panel, bearing in mind the seriousness of the allegation which is made. This standard of proof in all cases is greater than a mere balance of probabilities but less than proof beyond a reasonable doubt. Where the rules of the CADP place the burden of proof upon the Party alleged to have committed an anti-doping rule violation to rebut a presumption or establish specified facts or circumstances except as provided in Rules 3.2.2 and 3.2.3 of the CADP, the standard of proof shall be by a balance of probabilities [CADP Rule 3.1].

7.8 Methods of Establishing Facts and Presumptions

Facts related to anti-doping rule violations may be established by any reliable means, including admissions. The following rules of proof shall be applicable for hearings before the Doping Panel [CADP Rule 3.2]:

- (a) Analytical methods or decision limits approved by WADA after consultation within the relevant scientific community and which have been the subject of peer review are presumed to be scientifically valid. Any Person seeking to challenge whether the conditions for such presumption have been met or to rebut this presumption of scientific validity shall, as a condition precedent to any such challenge, first notify WADA of the challenge and the basis of the challenge. The initial hearing body, appellate body or CAS, on its own initiative, may also inform WADA of any such challenge. Within ten (10) days of WADA's receipt of such notice and the case file related to such challenge, WADA shall also have the right to intervene as a Party, appear as "amicus curiae", or otherwise provide evidence in such proceeding. [CADP Rule 3.2.1].
- (b) WADA-accredited laboratories, and other laboratories approved by WADA, are presumed to have conducted sample analysis and custodial procedures in accordance with the International Standard for laboratories. The Person alleged to have committed a violation may rebut this presumption by establishing that a departure from the International Standard for laboratories occurred which could reasonably have caused the adverse analytical finding. If the Person rebuts the preceding presumption by showing that a departure from the International Standard for laboratories occurred which could reasonably have caused the adverse analytical finding,

then the CCES shall have the burden to establish that such departure did not cause the adverse analytical finding [CADP Rule 3.2.2].

- (c) Departures from any other International Standard or other anti-doping rule or policy set forth in the CADP or the World Anti-Doping Code shall not invalidate analytical results or other evidence of an anti-doping rule violation, and shall not constitute a defense to an anti-doping rule violation; provided, however, if the Person establishes that a departure from one of the specific International Standard provisions listed in Rule 3.2.3 (i) to (iv) of the CADP could reasonably have caused an anti-doping rule violation based on an adverse analytical finding or whereabouts failure, then the CCES shall have the burden to establish that such departure did not cause the adverse analytical finding or the whereabouts failure [CADP Rule 3.2.3].
- (d) The facts established by a decision of a court or professional disciplinary tribunal of competent jurisdiction, which is not the subject of a pending appeal, shall be irrebuttable evidence of those facts against the Person to whom the decision pertained unless the Person establishes that the decision violated principles of natural justice [CADP Rule 3.2.4].
- (e) In a hearing on an anti-doping rule violation, the Doping Panel may draw an inference adverse to the Person who is asserted to have committed an anti-doping rule violation based on the Person's refusal, after a request made in a reasonable time in advance of the hearing, to appear at the hearing (either in person or by telephone as directed by the Doping Panel) and to answer questions from the Doping Panel or the CCES [CADP Rule 3.2.5].
- (f) The Doping Panel has the power, at its absolute discretion, to appoint an expert at the expense of the Doping Tribunal to assist or advise the Doping Panel, as required [CADP Rule 8.2.2.2].

7.9 Doping Decisions

- (a) The Doping Panel shall issue an initial decision no later than five (5) days from the completion of the hearing. The Doping Panel shall also issue a reasoned decision no later than twenty (20) days from the completion of the hearing [CADP Rules 8.3.1].
- (b) The reasoned decision of the Doping Panel shall address and determine without limitation the following issues:
 - (i) the jurisdictional basis and applicable rules;
 - (ii) whether an anti-doping rule violation was committed or a Provisional Suspension should be imposed and the factual basis for such determination;
 - (iii) the specific CADP rules that have been violated;
 - (iv) all consequences flowing from the anti-doping rule violation(s), including applicable disqualifications, any forfeiture of medals or prizes, any period of ineligibility (and the date it begins to run), any financial consequences, and (if applicable) a justification for why the greatest potential consequences were not imposed; and
 - (v) whether the athlete is an International-Level Athlete for the purposes of the appeal route pursuant to Rule 13.2.3 of the CADP, as well as the appropriate appeal route (including the address to which any appeal should be sent to) and the deadline to appeal.
- (c) A decision by a Doping Panel shall be made public, subject only to the applicable rules of the CADP.
- (d) A Party shall have the right to appeal a decision of a Doping Panel pursuant to Section 9.6. In addition, WADA and the applicable international federation shall have the right to appeal any decision of the Doping Panel to the CAS.

7.10 Costs

Each Party shall be responsible for its own expenses (including legal fees) and those of its witnesses. Subject to Rule 8.2.4.8 of the CADP, the Doping Panel may grant a reimbursement of expenses to any Party, payable as it directs. The Party seeking a reimbursement of its expenses shall inform the Panel and the other Parties no more than seven (7) days after being notified of the Doping Panel decision for which the reimbursement of expenses applies.

Article 8 Specific Arbitration Rules for the Safeguarding Tribunal

8.1 Application of Article 8

- (a) The Safeguarding Tribunal constitutes Safeguarding Panels to conduct Arbitration procedures for the resolution of Sports-Related Disputes arising from the application of the UCCMS, in a manner that is consistent with specific procedural rules described in this Article 8, while affording additional protection to Minors and Vulnerable Persons when, in exceptional circumstances, giving evidence is deemed necessary by the Safeguarding Tribunal for the proper administration of justice.
- (b) A Safeguarding Panel shall be appointed in accordance with Section 5.3 of this Code from the Safeguarding Tribunal roster of Arbitrators.
- (c) To the extent that a procedure or rule is not specifically addressed in this Article, the other provisions of this Code shall apply except for Articles 6, 7 and 9.

8.2 Jurisdiction of the Safeguarding Tribunal

The Safeguarding Tribunal has jurisdiction to:

- (a) hear reviews of decisions made by the CCES, where a review is available in accordance with CSSP Rule 16.1; or
- (b) hear reviews, appeals or challenges (hereinafter “reviews”) of decisions made by an SO or its designated third party, where:
 - (i) the SO Safe Sport Policies specifically provide for Arbitration before the SDRCC; and
 - (ii) there is an agreement in place for payment of arbitration services between the SDRCC and the SO responsible for the application of the UCCMS, or between the SDRCC and another entity which provides for the payment of arbitration services for the relevant SO.

8.3 General Conduct of the Proceedings

- (a) The Safeguarding Panel shall not conduct a hearing *de novo* and the hearing is not a redetermination of the investigation. The findings of fact and credibility made in the investigation report shall be accepted by the Safeguarding Panel, except where the findings are successfully challenged by a Party in accordance with Subsection 8.4.4 or Subsection 8.5.2, as applicable. A review will be heard by way of documentary review only, unless ordered otherwise by the Safeguarding Panel in exceptional circumstances for the proper administration of justice.
- (b) In the exceptional circumstances where the Safeguarding Panel may determine that there is a basis to hear evidence from witnesses, no Party may directly question any other Party or witness.
- (c) The Safeguarding Panel shall make, upon application by or on behalf of a Minor or Vulnerable Person, an order as it deems appropriate in relation to the manner and form in which any witness evidence should be produced, provided that:
 - (i) a Party intending to rely upon the evidence of a witness shall serve a statement or report setting out the proposed evidence of such witness at a date in advance of the hearing, as specified by the Safeguarding Panel; and
 - (ii) the Safeguarding Panel shall have the power to allow, refuse or limit the evidence or appearance at the hearing of any witness, subject only to the provisions of Subsection 8.4.4(c)(iii) or Subsection 8.5.2(b)(iii), as applicable.

- (d) The Safeguarding Panel shall make such order as it deems appropriate in relation to the disclosure of relevant documents and/or other materials in the possession or control of the CCES or, in exceptional cases, any of the Parties.
- (e) The Safeguarding Panel shall have the right to question a witness. The Safeguarding Panel shall also ensure that all those who appear at the hearing, and in particular, Minors and Vulnerable Persons, are questioned with sensitivity and respect.

8.4 Rules and Procedures Specific to Reviews Under the CSSP

8.4.1 Initiation of Proceedings Pursuant to the CSSP

- (a) The Person initiating the proceeding shall complete all mandatory fields of the Request form, as amended from time to time by the SDRCC, and file such Request with the SDRCC. -
- (b) The time limit to file a Request shall be twenty-one (21) days following the date on which the CCES decision is deemed to have been sent to the Party seeking a review.
- (c) The SDRCC may, at its own discretion, accept an incomplete Request if satisfied with the reasons provided by the Person initiating the proceeding for the absence of the information.
- (d) Upon receipt of a Request, the SDRCC shall communicate the Request to the CCES and set a time limit for the CCES to:
 - (i) file its Answer;
 - (ii) identify other Parties with a right to participate in the proceeding pursuant to CSSP Rule 16; and
 - (iii) produce all relevant documents pursuant to CSSP Rule 16.8.1.

8.4.2 Review of Provisional Measures under the CSSP

- (a) The Safeguarding Panel hearing a review of a Provisional Measure has the power to uphold, lift or vary the Provisional Measure or to impose other measures, having regard to a consideration of the factors described in CSSP Rule 12.2.
- (b) Where a decision to impose a Provisional Measure is reviewed, the Safeguarding Tribunal shall apply the standard of reasonableness, taking into account the considerations set out in CSSP Rule 12.2.
- (c) Where posting of the Provisional Measure on a Public Registry is reviewed, the Safeguarding Tribunal shall determine whether the posting is necessary and appropriate, having regard to the considerations set out in CSSP Rule 12.2. A review of a posting on a Public Registry may be filed separately from any review of the Provisional Measures.
- (d) A Party against whom a suspension is imposed as a Provisional Measure has the right to an expedited hearing. For the sake of clarity, when the Provisional Measure imposes conditions that restrict but do not prevent the Party from participation, there shall be no right to an expedited hearing.
- (e) A reasoned award on a review of a Provisional Measure or posting of a Provisional Measure on a Public Registry shall be communicated to the Parties within ten (10) days of the closing of submissions.
- (f) An award on a review of a Provisional Measure or on posting of a Provisional Measure on a Public Registry shall be final and binding and shall not be appealable to the Appeal Tribunal.

8.4.3 Review of Letter of Concern, Remedial Resolution, Acceptance of Violation and Sanction under the CSSP

- (a) A review of a CCES decision to issue a Letter of Concern or to agree to a Remedial Resolution or acceptance of violation and sanction under CSSP Rules 13.1 to 13.3, as these terms are defined in the CSSP, may be made on the sole ground that the CCES resolution was unreasonable, having regard to the purposes and goals of the UCCMS and CSSP Rules, including the role of education and remediation in achieving safe sport.
- (b) Where the CCES resolved a Report under CSSP Rules 13.1 to 13.3 following the completion of an investigation report, a review of the reasonableness of the resolution may include that the resolution is inconsistent with, or not supported by, the findings contained in the investigation report.
- (c) An award of the Safeguarding Panel pursuant to this section shall be final and binding and shall not be appealable to the Appeal Tribunal.

8.4.4 Review of a Finding of Fact or Violation under the CSSP

- (a) A review of a finding of fact or a decision on a violation can be made pursuant to the CSSP.
- (b) The Safeguarding Panel shall not conduct a hearing *de novo* and the hearing is not a redetermination of the investigation. The findings of fact and credibility made in the investigation report shall be accepted by the Safeguarding Panel, except where the findings are successfully challenged by a Party in accordance with Subsection 8.4.4(c).
- (c) A review of the findings of fact or credibility by the investigator or the decision by the CCES that a Party did or did not violate the UCCMS and/or the CSSP Rules, as applicable, may only be made on the following grounds:
 - (i) Error of law that has a material impact on the findings and/or decisions made. For greater clarity, an error of law includes without limitation:
 - (1) a misinterpretation of a section of the UCCMS and /or the CSSP;
 - (2) a misapplication of an applicable principle of general law;
 - (3) acting without any evidence;
 - (4) acting on a view of the facts which could not reasonably be entertained; or
 - (5) failing to consider all the evidence that is material to the decision being challenged.
 - (ii) Substantial failure to observe the principles of procedural fairness and natural justice in the investigative process and in reaching a determination on whether there was a violation of the UCCMS and/or CSSP Rules, or in reaching a conclusion on the appropriate sanction (if any). The extent of natural justice rights afforded to a Party will be less than that afforded in criminal proceedings and may vary depending on the nature of the alleged violation and sanction that may apply.
 - (iii) Fresh evidence where such evidence:
 - (1) could not, with the exercise of due diligence, have been discovered and presented during the investigation and prior to the decision being made;
 - (2) is relevant to a material issue arising from the allegations;
 - (3) is credible in that it is reasonably capable of belief; and
 - (4) has high probative value, in the sense that, if believed, it could, on its own, or when considered with other evidence, have led to a different conclusion on the material issue.

- (iv) For greater clarity, fresh evidence in this section may not be admitted where the evidence was available with the exercise of due diligence and, absent compelling justification, was not produced during the investigation or following a procedural order made in accordance with CSSP Rule 11, or where the Party did not participate in the investigation.
- (d) When assessing a review under Subsection 8.4.4(c), the Safeguarding Panel shall apply the standard of reasonableness.
- (e) Except for an award pertaining to a sanction, an award of the Safeguarding Panel under this Section 8.4.4 shall be final and binding and shall not be appealable to the Appeal Tribunal.

8.4.5 Review of a Sanction under the CSSP

- (a) A review of a sanction imposed by the CCES may be made on the basis that the sanction is unreasonable having regard to the purposes of sanction under UCCMS Sections 7.3 and 7.4.
- (b) An award of the Safeguarding Panel pertaining to a sanction pursuant to this Section 8.4.5 shall be appealable to the Appeal Tribunal in accordance with Article 9.

8.5 Rules and Procedures Specific to Reviews of Decisions Made Under SO Safe Sport Policies

8.5.1 Initiation of Proceedings Pursuant to the SO Safe Sport Policies

- (a) The Person initiating the proceeding shall complete all mandatory fields of the Request form, as amended from time to time by the SDRCC, and file such Request with the SDRCC. The Request form shall be accompanied by:
 - (i) a copy of the decision or document evidencing the decision being reviewed, if applicable; and
 - (ii) a copy of the policy or other governing document granting a right to request a review by the SDRCC of the contested decision.
- (b) Unless specified otherwise in the SO Safe Sport Policies, the time limit to file a Request shall be thirty-one (31) days following the date on which the contested decision is deemed to have been sent to the Party seeking a review.
- (c) The SDRCC may, at its own discretion, accept an incomplete Request if satisfied with the reasons provided by the Person initiating the proceeding for the absence of the information.
- (d) Upon receipt of a Request, the SDRCC shall communicate the Request to the SO, and set a time limit for the SO to:
 - (i) file its Answer;
 - (ii) produce any applicable SO Safe Sport Policies; and
 - (iii) identify other Parties with a right to participate in the proceeding as identified in the SO's Safe Sport Policies. Where the SO Safe Sport Policies do not define Parties, the Safeguarding Panel shall determine who are the Parties with a right to appear before the Safeguarding Panel.

8.5.2 Review of a Decision on Violation or Sanction Pursuant to the SO Safe Sport Policies

- (a) The Safeguarding Panel shall not conduct a hearing *de novo* and the hearing is not a redetermination of the investigation. The findings of fact and credibility made in the investigation report shall be accepted by the Safeguarding Panel, except where the findings are successfully challenged by a Party in accordance with Subsection 8.5.2(b).

- (b) A review of the findings of fact or credibility by the investigator or the decision that a Party did or did not violate the UCCMS may only be made on the following grounds:
 - (i) Error of law that has a material impact on the findings and/or decisions made. For greater clarity, an error of law includes:
 - (1) a misinterpretation of a section of the UCCMS;
 - (2) a misapplication of an applicable principle of general law;
 - (3) acting without any evidence;
 - (4) acting on a view of the facts which could not reasonably be entertained; or
 - (5) failing to consider all the evidence that is material to the decision being challenged.
 - (ii) Substantive failure to observe the principles of procedural fairness and natural justice in the investigative process and in reaching a determination on whether there was a violation of the UCCMS, or in reaching a conclusion on the appropriate sanction (if any). The extent of natural justice rights afforded to a Party will be less than that afforded in criminal proceedings and may vary depending on the nature of the alleged violation and sanction that may apply.
 - (iii) Fresh evidence where such evidence:
 - (1) could not, with the exercise of due diligence, have been discovered and presented during the investigation and prior to the decision being made;
 - (2) is relevant to a material issue arising from the allegations;
 - (3) is credible in that it is reasonably capable of belief; and
 - (4) has high probative value, in the sense that, if believed, it could, on its own, or when considered with other evidence, have led to a different conclusion on the material issue.
 - (iv) For greater clarity, fresh evidence in this section may not be admitted where the evidence was available with the exercise of due diligence and, absent compelling justification, was not produced during the investigation, or where the party did not participate in the investigation.
- (c) When assessing a review of a finding of violation, the Safeguarding Panel shall apply the standard of reasonableness.
- (d) When assessing a review of a sanction imposed, the Safeguarding Panel shall determine whether it is unreasonable having regard to the purposes of sanction under UCCMS Sections 7.3 and 7.4.
- (e) An award of the Safeguarding Panel on a violation or sanction under this Section 8.5.2 shall be final and binding and shall not be appealable to the Appeal Tribunal.
- (f) When a Panel-appointed expert is called to testify, the Safeguarding Panel shall notify the Parties as soon as possible after the filing of written submissions and no later than the beginning of the hearing. The expert may be questioned by all the Parties.
- (g) Before deciding on the imposition of a sanction, the Safeguarding Panel must allow relevant Parties, as determined by the Panel, to provide a written impact statement and may allow them to read it aloud at the hearing.

8.6 Evidence of Minors and of Vulnerable Persons

- (a) Procedural accommodations shall be requested with a formal application at least fifteen (15) days prior to the hearing, unless otherwise allowed by the Safeguarding Panel. Such request shall set out the reasons why procedural accommodations are necessary and what forms of accommodations are appropriate.
- (b) Before a ruling on the application can be made, the opposing Party shall be allowed to file submissions with respect to the application for procedural accommodations.
- (c) As a general rule, procedural accommodations for Minors and Vulnerable Persons will be granted, unless the Safeguarding Panel is of the opinion that they would interfere with the proper administration of justice.
- (d) Once an application is filed for procedural accommodations on behalf of a Minor or a Vulnerable Person called as a witness, there is a presumption that the accommodations are necessary. The opposing Party bears the burden of establishing that the use of a procedural accommodation would prejudice their right to a fair hearing or otherwise interfere with the proper administration of justice. If the opposing Party challenges procedural accommodations for Minors or Vulnerable Persons, expert evidence shall be admissible to establish whether procedural accommodations are justified.
- (e) An adult witness, who is not a Minor nor a Vulnerable Person, but over whom the Respondent has authority or power, may make an application for procedural accommodations, by way of a witness statement setting out the reasons for such application.
- (f) When deciding whether to tailor procedures to meet the particular needs of a Minor or Vulnerable Person or an adult witness pursuant to Subsection 8.6(e), the Safeguarding Panel will take into account factors including, but not limited to:
 - (i) the nature of the allegations;
 - (ii) the nature of the relationship between the witness and the Party alleged to have violated the UCCMS, including the existence of a power imbalance in favour of the Party alleged to have violated the UCCMS;
 - (iii) the safety of the witness;
 - (iv) the vulnerability of the witness to intimidation and/or retaliation;
 - (v) the communication skills, concentration span and level of understanding of the witness;
 - (vi) the need for frequent breaks in the witness' evidence; and
 - (vii) any other circumstances considered relevant by the Safeguarding Panel.
- (g) Notwithstanding Subsection 8.6(f), the Safeguarding Panel has full discretion to grant the accommodations if the Safeguarding Panel believes they are necessary to obtain a full and candid account from a witness.

8.7 Procedural Accommodations

- (a) When the application for procedural accommodations is granted, the Safeguarding Panel may accommodate a Person's vulnerability by various means, including but not limited to:
 - (i) allowing a Support Person to be present or to participate at the hearing;
 - (ii) allowing the presence of a specially trained animal for emotional support;

- (iii) testifying by way of affidavits, via videoconference or closed-circuit camera, behind a screen, or via recorded statement;
 - (iv) advance approval by the Safeguarding Panel of any questions proposed to be put to the witness;
 - (v) the questioning being conducted by the Safeguarding Panel or neutral counsel;
 - (vi) allowing the Minor or Vulnerable Person to see their interview and/or their existing evidence before giving evidence for the purpose of memory refreshing; and
 - (vii) any such other procedural accommodations that the Safeguarding Panel deems fair, just and appropriate in the circumstances, balancing the need for achieving a fair hearing with the risk of harm to the witness.
- (b) In particular, where the witness is a Minor, the Safeguarding Panel shall have regard to:
- (i) the Minor's wishes and feelings; in particular the Minor's willingness to give evidence; as an unwilling Minor should rarely if ever be obliged to give evidence;
 - (ii) the Minor's particular needs and abilities;
 - (iii) the age, maturity, vulnerability and understanding, capacity and competence of the Minor, which may be assessed through professionals' discussions with the Minor;
 - (iv) the nature and gravity of the issues to be determined;
 - (v) evidentiary matters such as, but not limited to, the source of any allegations, the extent to which the case depends on the Minor's allegations alone, corroborative evidence, the quality and reliability of the existing evidence, the quality and reliability of any interview, whether the Minor has given evidence to another tribunal or court, the manner in which such evidence was given and the availability of that evidence;
 - (vi) whether the Minor has retracted allegations;
 - (vii) the nature of any challenge a Party wishes to make;
 - (viii) the length of time since the events in question;
 - (ix) whether justice can be done without further questioning;
 - (x) the risk of further delay;
 - (xi) the wishes and views of any parent, Person with parental responsibility for the Minor, or any guardian, if appropriate; and
 - (xii) any other matter which the Safeguarding Panel considers relevant.

8.8 Logistical Arrangements for In-Person Hearings

If a Minor or Vulnerable Person is to give oral evidence at an in-person hearing, the SDRCC shall allow the following to occur:

- (a) A familiarisation visit by the Minor or Vulnerable Person to the hearing facility before the hearing with a demonstration of special measures, so that the Minor or Vulnerable Person can make an informed view about their use;
- (b) Consideration of the Minor or Vulnerable Person's secure access to the building and suitability of waiting/eating areas so as to ensure there is no possibility of any confrontation with anyone which might cause distress to the Minor or Vulnerable Person (where facilities are inadequate, a testimony by closed-circuit or videoconference should be granted); and

- (c) Identification of where the Minor or Vulnerable Person will be located in the hearing facility in order to respect their privacy.

8.9 Powers of the Safeguarding Panel

- (a) Subject to CSSP Rule 16.10, the Safeguarding Panel shall have the following powers:
 - (i) Uphold the decision made by the CCES or the SO, as applicable;
 - (ii) Vary the decision made by the CCES or the SO, as applicable, and substitute its own decision on violation and/or sanction in accordance with UCCMS Section 7 and the facts before the Panel;
 - (iii) Set aside the investigation report and direct that a new investigation be undertaken;
 - (iv) In exceptional cases, stay the matter, in accordance with the principles of procedural fairness; and/or
 - (v) Issue directions for any further steps required for the efficient and timely resolution of the matter, as may be required and appropriate in the circumstances.
- (b) In matters arising from the CSSP, the Safeguarding Panel shall also have the following powers:
 - (i) Set aside the resolution of a Report under CSSP Rules 13.1, 13.2, or 13.3, on the basis that it is unreasonable; and/or
 - (ii) Where the CCES has resolved a Report under CSSP Rules 13.2 or 13.3 prior to investigation under CSSP Rule 14, the Safeguarding Panel may set aside the resolution and order the CCES to conduct an investigation under CSSP Rule 14.

8.10 Burden and Standard of Proof

- (a) The burden of proof shall lie on the Party who asserts a particular fact or matter.
- (b) The standard of proof shall be on a balance of probabilities throughout the proceedings.
- (c) Subject to the provisions of this section, the Safeguarding Panel may consider any evidence, whether or not such evidence would be admissible in a court of law.
- (d) The evidence of Minors and of Vulnerable Persons, whether direct or hearsay, shall be admissible in proceedings before the Safeguarding Panel.
- (e) Facts accepted by a criminal court, by a civil court or by a professional disciplinary tribunal of competent jurisdiction shall be admissible as evidence, as allowable by applicable law.
- (f) The Safeguarding Panel may draw an inference adverse to a Party based on that Party's refusal, after a request made in a reasonable time in advance of the hearing, to appear at the hearing and to answer questions from the Safeguarding Panel.

8.11 Safeguarding Panel Awards

- (a) Except as provided for under Subsection 8.4.2(e), awards with reasons of the Safeguarding Panel shall be communicated to the Parties within fifteen (15) days of the completion of the hearing process. Upon request by the Parties, a short decision may be issued earlier at the discretion of the Panel, with reasons to follow.
- (b) Notwithstanding Subsection 8.11(a), when the award must be communicated to the Parties simultaneously in both official languages, the written reasons shall be provided to the Parties within twenty-one (21) days of the completion of the hearing process.

- (c) Subject to CSSP Rule 16.11 in CSSP-related matters, all awards of the Safeguarding Panel shall be made public, provided that:
 - (i) the name and identifying information of a Party who has been found to have violated the UCCMS or, as applicable, the CSSP shall be made public, unless otherwise determined by the Safeguarding Panel; and
 - (ii) best efforts are made to prevent the identification of:
 - (1) any Person who was, at the time of the alleged or confirmed UCCMS or CSSP violation, a Minor or Vulnerable Person as determined by the Safeguarding Panel, including a Minor or Vulnerable Person who has been found to have violated the UCCMS or, as applicable, the CSSP; and
 - (2) any other Party or witness who has not expressly consented to be publicly identified prior to the publication of the award.

8.12 Costs

Notwithstanding the SO Safe Sport Policies, Section 5.14 shall apply to any request for costs made by a Party to the Safeguarding Panel.

Article 9 Specific Arbitration Rules for the Appeal Tribunal

9.1 Application of Article 9

Subject to the rules contained in this Article 9, an Appellant may appeal to the Appeal Tribunal:

- (a) a final award of a Safeguarding Panel only as it pertains to a sanction under the CSSP, and
- (b) a doping-related decision.

9.2 Decisions Being Appealed Remaining in Full Force and Effect

The decisions being appealed shall remain in full force and effect pending determination of the appeal, unless specified otherwise in the CADP or the CSSP, or unless an Appeal Panel constituted under this Article orders otherwise.

9.3 Conduct of the Appeal

- (a) Appeal hearings should be conducted expeditiously. Except where all Parties agree or fairness requires otherwise, the SDRCC shall take all appropriate action to ensure that the hearing process commences no later than forty-five (45) days from the receipt of the appeal.
- (b) Notwithstanding any of the foregoing, when fairness so requires, the Panel shall take all appropriate action to expedite the commencement of hearings.

9.4 Appointment of an Appeal Panel

- (a) In the normal course, a Panel of three (3) Arbitrators shall hear all appeals. However, if all Parties involved in the decision under appeal agree in writing, a single Arbitrator may be appointed by the SDRCC to sit as the Appeal Panel.
- (b) An Arbitrator cannot be appointed as a one-person Panel or as chairperson of a three-person Panel if that Arbitrator is a roster member of the Tribunal from which the decision is appealed, unless all Parties agree.
- (c) Under no circumstances may any individual who has had any prior involvement in the case, directly or indirectly, and whether as an Arbitrator, Mediator or otherwise, be appointed to the Appeal Panel.

9.5 Scope of Review

- (a) For an appeal of a doping-related decision, the scope of review includes all issues relevant to the matter and is expressly not limited to the issues or scope of review before the initial decision maker. Any Party to the appeal may submit evidence, legal arguments and claims that were not raised in the first instance hearing so long as they arise from the same cause of action or same general facts or circumstances raised or addressed in the first instance hearing [CADP Rule 13.1.1].
- (b) An appeal of a Safeguarding Panel award pertaining to a sanction shall take the form of a judicial review. The Appeal Panel shall apply the standard of reasonableness.

9.6 Doping-related Decisions Appealable Before the Appeal Tribunal

The following decisions may be appealed to an Appeal Panel exclusively as provided in CADP Rule 13:

- (a) A decision by a Doping Panel that an anti-doping rule violation was committed, a decision imposing consequences or not imposing consequences for an anti-doping rule violation, or a decision that no anti-doping rule violation was committed;

- (b) A decision that an anti-doping rule violation proceeding cannot go forward for procedural reasons (including, for example, prescription);
- (c) A decision by WADA not to grant an exception to the six (6) months' notice requirement for a retired athlete to return to competition under CADP Rule 5.6.1;
- (d) A decision by the CCES not to bring forward an adverse analytical finding or an atypical finding as an anti-doping rule violation, or a decision not to go forward with an anti-doping rule violation after an investigation in accordance with the International Standard for Results Management;
- (e) A decision to impose, or lift, a Provisional Suspension as a result of a Provisional Hearing;
- (f) The CCES' failure to comply with CADP Rule 7.4;
- (g) A decision that the CCES lacks authority to rule on an alleged anti-doping rule violation or its consequences;
- (h) A decision to suspend, or not suspend, consequences or to reinstate, or not reinstate, consequences under CADP Rule 10.7.1;
- (i) Failure to comply with Articles 7.1.4 and 7.1.5 of the World Anti-Doping Code;
- (j) Failure to comply with CADP Rule 10.8.1;
- (k) A decision under CADP Rule 10.14.3;
- (l) A decision by the CCES not to implement another anti-doping organization's decision under CADP Rule 15;
- (m) A decision under Article 27.3 of the World Anti-Doping Code, but exclusively as provided in CADP Rule 13.2;
- (n) A decision of the CCES denying an application for a TUE, as provided in CADP Rule 13.4; and
- (o) A decision of the CCES under CADP Rule 14.3.7.

9.7 Doping-related Decisions only Appealable Before the CAS

- (a) Any TUE decision by an international federation (or by the CCES where it has agreed to consider the application on behalf of an international federation) that is not reviewed by WADA, or that is reviewed by WADA but is not reversed upon review, may be appealed by the athlete and/or the CCES exclusively to the CAS [CADP 4.4.6.3].
- (b) In cases arising from participation in an international event or in cases involving International-Level Athletes, the decisions of the Doping Panel may be appealed exclusively to the CAS [CADP Rule 13.2.1].
- (c) A decision by WADA assigning results management under Article 7.1 of the World Anti-Doping Code may be appealed exclusively to the CAS.

9.8 Parties and Observers in Doping-related Appeals

- (a) In an appeal of a doping-related decision, the Parties are:
 - (i) the Parties who were before the Doping Panel [CADP Rule 13.2.2.1.3(a)]; or
 - (ii) if there is no decision of the Doping Panel, the CCES and the Person subject to a decision made by the CCES [CADP Rule 13.2.2.1.3(b)].
- (b) The International Federation, the Canadian Olympic Committee and the Canadian Paralympic Committee, if not a Party before the Doping Panel, and WADA each have the right to attend hearings of the Appeal Panel as an observer.

9.9 Initiation of an Appeal of a Doping-Related Decision

- (a) A Person shall initiate an appeal of a doping-related decision by completing a notice of appeal form, as provided by the SDRCC, and by delivering it to the SDRCC and to:
 - (i) all Parties who were before the Doping Panel whose decision is being appealed, within thirty (30) days of the notification of the Doping Panel's decision [CADP Rule 13.2.2];
 - (ii) all Parties involved in the CCES' decision being appealed, within ten (10) days of the notification of the CCES' decision [CADP Rule 13.2.2]; or
- (b) An Appellant of a doping-related decision who was not a Party before the Doping Panel, but is otherwise entitled to appeal, shall initiate an appeal within twenty-one (21) days of receiving a copy of the case file that was before the Doping Panel [CADP 13.6.2].

9.10 Procedures of the Panel in Appeals of a Doping-related Decision

- (a) In connection with all appeals of doping-related decisions enumerated in Section 9.6, the specific procedures and rules set forth in this Article shall apply in addition to the rules specified in the CADP. To the extent that a procedure or rule is not specifically addressed in this Article or in the CADP, the other provisions of this Code shall apply, as applicable, with Article 7 having precedence over remaining Articles in the event of a conflict.
- (b) The Appeal Panel shall have the power to regulate its procedures in a manner consistent with Article 7 and the CADP Rule 8.2 [CADP rule 13.2.2.1].
- (c) When the Person whom the CCES asserted to have committed an anti-doping rule violation requests an in-person hearing, it shall be held in Canada in the municipality most convenient to that Person, unless impractical in the circumstances.

9.11 Safeguarding Panel Awards Appealable Before the Appeal Tribunal

A Safeguarding Panel award is appealable only as it pertains to a sanction under the CSSP. For the avoidance of doubt, a Provisional Measure is not a sanction.

9.12 Initiation of an Appeal of a Safeguarding Panel Award

- (a) To appeal an award pertaining to a sanction, the Appellant must have participated in the Safeguarding Tribunal hearing process.
- (b) The Appellant may initiate an appeal by completing a notice of appeal form, as provided by the SDRCC, and by delivering it to the SDRCC within thirty (30) days of the issuance of the Safeguarding Panel's award.
- (c) The Parties to an appeal of an award of the Safeguarding Tribunal are defined in CSSP Rules 17.1.1 and 17.1.2.

9.13 Procedures of the Panel in Appeals of a Safeguarding Panel Award

- (a) In connection with all appeals of Safeguarding Panel awards pursuant to Section 9.11, the specific procedures and rules set forth in this Article shall apply in addition to the rules specified in the CSSP. To the extent that a procedure or rule is not inconsistent with this Article or in the CSSP, the other provisions of this Code shall apply, as applicable, with Article 8 having precedence over remaining Articles in the event of a conflict.
- (b) It is not expected that an Appeal Panel will require hearing any evidence from a Minor or Vulnerable Person but, if necessary, it shall do so in accordance with Sections 8.6 and 8.7.

- (c) The Appeal Panel shall not have the power to rule on whether or not a violation occurred. For the avoidance of doubt, an Appeal Panel shall have the power to uphold, increase, decrease, remove or vary any sanction imposed by the Safeguarding Panel.

9.14 Appeal Panel Awards

- (a) All awards made by an Appeal Panel shall be provided to the Parties no later than fifteen (15) days from the completion of an appeal hearing process. The Appeal Panel shall also provide written reasons to the Parties for its award within forty-five (45) days of the completion of an appeal hearing process.
- (b) WADA, the International Olympic Committee, the International Paralympic Committee and the relevant international federation shall have the right to appeal any decision of the Appeal Panel in a doping-related matter to the CAS [CADP Rule 13.2.2.3.2].
- (c) Subject only to Subsection 9.14(b), all Appeal Panel awards shall be final and binding.

9.15 Costs

The Appeal Panel shall have the same powers to award costs as the Doping Panel or the Safeguarding Panel, as applicable.

9.16 Publication of Appeal Panel Awards

The publication and anonymization or redaction of an Appeal Panel award are governed by the same rules as those that applied to the appealed decision.

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